

PATENT

Docket No. RSW920010215US1

COPY OF PAPERS  
ORIGINALLY FILED



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS:

Vincent Formale  
Markus Koestler  
Jamie Randolph  
Geetika Shinde  
Andree Taylor  
Gerald Tieskoetter  
Lagray Wells

EXAMINER:

GROUP ART UNIT: 2161

APPLICATION NO. 10/083,170

FILED: February 26, 2002

TITLE: METHOD, SYSTEM, AND GRAPHIC USER INTERFACE  
FOR AUTOMATED ASSET MANAGEMENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence, along with any paper indicated as being enclosed, are being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: BOX Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on May 10, 2002.

5-10-02  
Date

Lynn M. White  
Lynn M. White

BOX Missing Parts  
Commissioner of Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE**  
**CORRECTED APPLICATION PAPERS, DATED MARCH 19, 2002**

Sir:

In response to the Notice to File Corrected Application Papers, mailed by the Patent Office on March 19, 2002, Applicants enclose herewith the following:

- (A) eleven (11) sheets of substitute drawings (formal) in compliance with 37 CFR §1.84; and
- (B) a copy of the Notice to File Missing Parts, mailed March 19, 2002.


10083170-052402

Please charge any fees associated with this Response to International Business Machines Corporation's Deposit Account No. 09-0461. Copies of this Transmittal Letter are attached in triplicate.

Respectfully submitted,

5/10/02  
Date

By:

  
Mark D. Simpson  
Reg. No. 32,942

SYNNESTVEDT & LECHNER LLP  
Suite 2600 Aramark Tower  
1101 Market Street  
Philadelphia, PA 19107  
(215) 923-4466

MDS:lmw  
Enclosures

M:\MSimpson\Clients\IBM Raleigh\25556 USA\Patent Office\response to missing parts.wpd



MAY 24 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/083,170	02/26/2002	Vincent Formale	RSW920010215US1

CONFIRMATION NO. 5144

FORMALITIES LETTER



\*OC000000007669790\*

Mark D. Simpson, Esquire  
Synnestvedt & Lechner LLP  
2600 Aramark Tower  
1101 Market Street  
Philadelphia, PA 19107-2950

Date Mailed: 03/19/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE